

**S.Y.B.F.M**

**Semester – III**

## SEMESTER III

M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Debt Market -1

Name of the Faculty: Rugved Shivgan

Month	Topic to be covered	No.of lectures
June	<ul style="list-style-type: none"><li>• Evolution of Debt Markets in India</li><li>• Primary market &amp; secondary market</li><li>• Money market &amp; Debt Market in India</li><li>• Fundamental features of debt instruments</li><li>• Regulatory framework in the Indian debt market</li></ul>	15
July	<ul style="list-style-type: none"><li>• central government securities: bonds, t-bills, state government bonds,</li><li>• Open market operations</li><li>• Securities Trading Corporation of India</li><li>• Primary dealers in Government Securities</li><li>• Clearing Corporation of India</li></ul>	15
Aug	<ul style="list-style-type: none"><li>• Features of bonds</li><li>• Types of bonds</li><li>• Issuers of bonds</li><li>• Bond ratings- importance &amp; relevance and rating agencies.</li></ul>	15
Sept	<ul style="list-style-type: none"><li>• Determinants of the value of bonds</li><li>• Bond Mathematics</li><li>• Yield Curve Analysis</li></ul>	15

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Equity Market -1

Name of the Faculty: Amarpreet Banga

Month	Topic to be covered	No.of lectures
June	<ul style="list-style-type: none"><li>• Meaning and Definition of equity shares</li><li>• Growth of Corporate Sector and the simultaneous growth in the number of equity shareholders</li><li>• Separation of ownership and management in companies</li><li>• Development of equity culture in India- Current position</li></ul>	15
July	<ul style="list-style-type: none"><li>• IPO - Methods followed, Book Building, Offer for sale</li><li>• Role of Merchant bankers in fixing the price</li><li>• Red – Herring Prospectus – it's unique features</li><li>• ASBA and its features</li><li>• Green Shoe option</li><li>• Sweat equity, ESOP</li><li>• Rights issue of shares, Non voting shares ,ADR, GDR,IDR</li></ul>	15
Aug	<ul style="list-style-type: none"><li>• Definition and functions of stock Exchanges</li><li>• Evolution and Growth of Stock Exchanges in India</li><li>• NSE, BSE, SME Exchanges and Overseas Stock Exchanges</li><li>• Recent Development in Stock Exchanges, Merger of SEBI with FOMC</li><li>• Stock Market Indices</li></ul>	15
Sept	<ul style="list-style-type: none"><li>• Need for attracting more investors towards equity</li><li>• Need for strengthening secondary markets</li><li>• Link between Primary Market and Secondary Market</li></ul>	15

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Portfolio Management

Name of the Faculty: Rahul Mehra

Month	Topic to be covered	No.of lectures
June	Investment process, Investment categories, defining investment goals and objectives, Investment constraints  Process of asset allocation, different approaches to allocation decision, overview of allocation techniques	15
July	Active strategy, passive strategy, semi active strategy, duration shift and immunization, efficient market hypotheses, top down and bottoms up	15
Aug	Analysis: Diversification, portfolio risk and return, single index model, The sharpe index model, Portfolio Beta  Selection: Markowitz Risk- Return optimization, concept of utility, Sharpe optimization model, Geometric mean model, Safety First model, Stochastic Dominance Model	15
Sept	Revision: Portfolio rebalancing, portfolio upgrading, Formula plans, constant ratio plan, variable ratio plan, selection and revision of equity portfolio  Performance measurement: Methods of calculating rate of return, Money weighted rate of return, Time weighted rate of return, Linked internal rate of return, Buying the index approach, Sharpe's-Treynor's – Jensen's performance measure of portfolio	15

# M .L.Dahanukar College of Commerce

## Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Business Law 1

Name of the Faculty: Swapnil Shenvi

Month	Topic to be covered	No.of lectures
June	<p>Indian Contract Act 1872</p> <p>Definitions (S.2): Agreement, kinds of Agreements, Contract- kinds of contracts: Valid, Void, Voidable, Contingent and Quasi Contract and Econtract, distinguish between Agreement and Contract.</p> <p>Offer or Proposal- definition, Essentials of Valid proposal or offer, counteroffer, Standing or open offer, distinguish between offer and invitation to offer, Acceptance-definition, Essentials of a valid acceptance, Promise, Communication of Offer and acceptance and Revocation.</p> <p>Capacity to contract (Ss.10-12), Consent and Free Consent (Ss.13-22)</p> <p>Consideration (S.2 and 25) and Void Agreements (Ss.24-30)</p>	15
July	<p>Law of Indemnity and Guarantee (Ss.124-125, Ss-126-129,132-147)</p> <p>Law of Bailment and pledge (Ss. (Secs148,152-154,162, 172,178,178A and 179)</p> <p>Law of Agency (S. 182-185&amp; 201-209only)</p>	15
Aug	<p>Introduction, Definitions (Sec-2), Formalities of the contract of sale (Ss. 4-10), Distinction between 'sale' and 'agreement of sell, Distinction between 'sale and hire-purchase agreement' Conditions and Warranties (11-17)</p> <p>Transfer of property as between the seller and the buyer (sec-18-26)</p> <p>Rights of an unpaid seller (Secs-45-54)</p>	15
Sept	<p>Meaning and Characteristics of Negotiable Instrument, Operational rules of</p>	15

	<p>Evidence –Presumptions, classification of Negotiable Instruments.</p> <p>Promissory Notes and Bills of Exchange(Ss. 4,5,108-116)</p> <p>Essential elements of Promissory Note and Bill of Exchange, Distinguish Between Promissory note and Bill of Exchange. Acceptor and Acceptance,</p> <p>definition of Acceptor, Acceptance for honour, Absolute and qualified or conditional acceptance, Drawer, Drawee in case of Need(Ss.7,115&amp;116)</p> <p>Payee,Cheques, Types of Cheque and Penalties in case of dishonour of certain</p> <p>cheques, distinguish between cheque and Bill of exchange, (Sec: 6,123-131A,138-147)</p> <p>Miscellaneous Provisions:(Secs:8-10,22,99-102,118-122,134-137)Holder(S.8), Holder in Due Course(S.9), Rights and privileges of H.D.C.Payment in due course (S.10), Maturity of an Instrument (S.22), Noting(S.99), Protest (S.100-102). Bills in Set. (Sec.13)</p>	
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## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Money Market

Name of the Faculty: Rugved Shivgan

Month	Topic to be covered	No.of lectures
June	Meaning of Money Market, features of money markets, importance of money market: Role of Primary dealers in money markets, Development of trade & industry, Development of capital market, Smooth functioning of commercial banks, Effective central bank control, Formulation of suitable monetary policy, source of finance to government	15
July	Regulatory framework of RBI, FIMMDA (Fixed Income, Money Market and Derivatives Association) and Foreign Exchange Dealers Association of India (FEDAI), conDS, NDS-OM	15
Aug	Conventional: Treasury bills, Money at call and short notice in the call loan market, Commercial bills, promissory notes in the bill market.  Modern: Commercial papers, Certificate of deposit, Inter-bank participation certificates, Repo instrument, Banker's Acceptance.  Money Market mutual fund: meaning, advantages and disadvantages, regulatory framework for money market mutual funds, various money market funds operational in Indian market	15
Sept	Recent development in Money Market: Integration of unorganized sector with the organized sector, widening of call Money market, Introduction of innovative instrument, Offering of Market rates of interest, Promotion of bill culture, Entry of Money market mutual funds, Setting up of credit rating agencies, Adoption of suitable monetary policy, Establishment of DFHI, Setting up of security trading corporation of India Ltd. (STCI)	15

# M .L.Dahanukar College of Commerce

## Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Management Accounting

Name of the Faculty: Sarvottam Rege

Month	Topic to be covered	No.of lectures
June	Meaning, nature, scope and functions of Management accounting- Role of Management Accounting in decision making- Management accounting and Financial Accounting	15
July	Vertical form of Balance sheet and Profit & Loss account suitable for analysis Trend Analysis Comparative Statements Common size statement Simple problems based on the above	15
Aug	a) Balance sheet Ratios Current Ratio Liquid Ratio Stock Working capital Ratio Proprietary Ratio Debt Equity Ratio Capital Gearing Ratio b) Revenue Statement Ratios Gross Profit Ratio Expenses Ratio Operating Ratio Net Profit Ratio Stock Turnover Ratio c) Combined Ratios Return on Capital Employed (including long term borrowings) Return on Proprietor's Fund (shareholders' fund and Preference Capital) Return on Equity Capital Dividend Payout Ratio	15

	Debt Service coverage Ratio Debtors Turnover Ratio Creditors Turnover Ratio	
Sept	Preparation of cash flow statements with reference to Accounting Standard No 3 – Indirect Method Only Estimation of working capital requirements in case of trading and manufacturing organisations	15

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Computer Skills 2

Name of the Faculty: Shamal Parab

Month	Topic to be covered	No.of letcures
June	Pivot, Advanced formulae, Lookups, Macros, Working with other programmes, Inserting a spreadsheet sheet in word document, modifying an inserted spreadsheet, Inserting a linked chart in a Word Document, Inserting graphic in a spreadsheet	15
July	Concepts, Tables, Fields, Datatypes RDBMS Primary Key, Foreign Key	15
Aug	Introduction : Enterprise Resource Planning – ERP Supply chain Management- SCM Customer Relationship Management- CRM Sales Force Automation- SFA	15
Sept	Online trading software Net-banking Online banking software	15